

GP27618

AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): RICHARD M. LIBMAN

Docket No.
23651.0500

Serial No.
08/834,240

JUN 1 1999
PATENT & TRADEMARK OFFICE 6

Filing Date
APRIL 15, 1997

Examiner
RAQUEL ALVEREZ

Group Art Unit
2761

Invention: APPARATUS AND METHOD FOR PREPARING COMMUNICATIONS INVOLVING
FINANCIAL PRODUCTS AND SERVICES

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Transmitted herewith is an amendment in the above-identified application.

- ☒ Small Entity status of this application has been established under 37 CFR 1.27 by a verified statement previously submitted.
- ☐ A verified statement to establish Small Entity status under 37 FR 1.27 is enclosed.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

| | CLAIMS REMAINING AFTER AMENDMENT | HIGHEST # PREV. PAID FOR | NUMBER EXTRA CLAIMS PRESENT | RATE | ADDITIONAL FEE |
|--|-------------------------------------|-----------------------------|--------------------------------|---------|-------------------|
| TOTAL CLAIMS | 108 - | 57 = | 51 x | \$9.00 | \$459.00 |
| INDEP. CLAIMS | 7 - | 6 = | 1 x | \$39.00 | \$39.00 |
| Multiple Dependent Claims (check if applicable) <input type="checkbox"/> | | | | | \$0.00 |
| TOTAL ADDITIONAL FEE FOR THIS AMENDMENT | | | | | \$498.00 |

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- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-2814.
A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Shaukat A. Karjeker
Signature

Dated: 6/10/99

Shaukat A. Karjeker, Registration No. 34,049
Snell & Wilmer L.L.P.
One Arizona Center
Phoenix, AZ 85004-0001
602-382-6244

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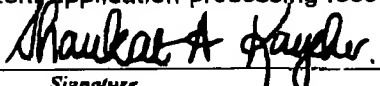

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| Ser./Pat. No.: <u>08/834240</u> | File/Issue Date: <u>4/15/97</u> |
| Applicant/Assignee(s): <u>Richard L. Simon</u> | Title: <u>App. Method for Preparing Comm. ...</u> |
| <input type="checkbox"/> Patent Application | <input type="checkbox"/> Application for Reissue |
| <input checked="" type="checkbox"/> Check No. <u>496787</u> \$ <u>498.00</u> | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Drawings: <u>F</u> <u>INF</u> | <input type="checkbox"/> Transmittal: _____ |
| <input type="checkbox"/> Oath or Declaration | <input type="checkbox"/> Letter: _____ |
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| Applicant(s): RICHARD M. LIBMAN | | | | | |
| Serial No. 08/834,240 | Filing Date APRIL 15, 1997 | Examiner RAQUEL ALVEREZ | | Group Art Unit 2761 | |
| Invention: APPARATUS AND METHOD FOR PREPARING COMMUNICATIONS INVOLVING FINANCIAL PRODUCTS AND SERVICES | | | | | |
| <u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> | | | | | |
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